

# UTILITY PATENT TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

<b>Attorney Docket No.</b>	2620		
<b>First Inventor or Application Identifier:</b>	VAN DER VLIET, et al.		
<b>Title:</b>	OPTICAL COMPONENT HAVING REDUCED INTERFERENCE FROM RADIATION MODES		
<b>Express Mail Label No.:</b>	EV147293446US		
<b>Application Elements</b> <small>(See MPEP chapter 600 concerning utility patent application contents)</small>		<b>ADDRESS TO:</b> MS PATENT APPLICATION Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> <small>(Submit an original, &amp; duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> <b>Applicant claims small entity status</b> 3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <u>26</u> ] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>• Descriptive title of the Invention</li> <li>• Cross References to Related Applications</li> <li>• Statement Regarding Fed sponsored R&amp;D</li> <li>• Background of the Invention</li> <li>• Brief Summary of the Invention</li> <li>• Brief Description of the Drawings (if filed)</li> <li>• Detailed Description</li> <li>• Claim(s)</li> <li>• Abstract of the Disclosure</li> </ul> 4. <input checked="" type="checkbox"/> <b>Drawing(s)</b> (35 USC 113) [Total sheets <u>13</u> ] 5. <input checked="" type="checkbox"/> <b>Oath or Declaration</b> [Total Pages <u>3</u> ] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> <b>Application Data Sheet.</b> See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies <b>ACCOMPANYING APPLICATION PARTS</b> 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power Of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO 1449) <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment ( <input type="checkbox"/> pgs.) 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input checked="" type="checkbox"/> Express Mail Certification 17. <input checked="" type="checkbox"/> <b>OTHER:</b> Check # <u>1026</u> (\$ <u>712.00</u> ) Req. and Cert. Under 35USC122(b)(2)(B)(i)	
17. <b>If a CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part    of prior application no.: _____ <b>Prior application information:</b> Examiner: _____ Group/Art Unit: _____			
<b>18. CORRESPONDENCE ADDRESS</b>			
<input type="checkbox"/> Customer Number Or Bar Code Label OR <input checked="" type="checkbox"/> Correspondence Address Below			
<b>NAME</b>	ATTN: Travis Dodd		
<b>ADDRESS</b>	LAW OFFICES OF TRAVIS L. DODD, PC 2490 Heyneman Hollow Fallbrook, CA 92028		
Telephone: 760/731-3091		General Fax No.: 760/728-1541    E-mail: Tdodd@lightcross.com	
Name (print/type)	Travis Dodd		Registration No.: (Attorney/Agent)    42,491
Signature			Date    8/22/03

22278 U.S. PTO  
**10/649044**  
 08/27/03

## FEE TRANSMITTAL

Attorney Docket No.	LIGHT2620
First Named Inventor:	VAN DER VLIET, et al.
Application Number	Unknown
Filing Date:	Herewith
Examiner Name:	Unknown
Group/Art Unit:	Unknown

<b>TOTAL AMOUNT OF PAYMENT:</b>	<b>\$ 712.00</b>
<b>METHOD OF PAYMENT (check One)</b>	1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and/or credit any over payment to:  Deposit Account No.: 502326 Deposit Account Name: Lightcross, Inc.  <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17  2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other

### 2. UTILITY Basic Filing Fee & Claims

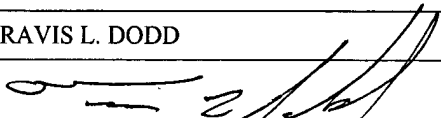
(1) For	(2) No. filed	(3) No. extra	(4) Large Entity	(5) Small Entity	(6) Calculations
Basic Filing Fee	XX	XX	\$ 750.00	\$375.00	\$ 375.00
Total Claims	39 - 20 =	19	X \$ 18.00	X \$ 9.00	\$ 171.00
Independent Claims	6 - 3 =	3	X \$ 84.00	X \$ 42.00	\$ 126.00
Multiple Dependent Claim(s) (if applicable)			\$ 280.00	\$140.00	\$ 000.00
<b>Total of above Calculations =</b>					<b>\$ 672.00</b>

Basic Filing Fee	Large Entity	Small Entity	Total
Design filing fee	\$ 330.00	\$ 165.00	\$ 000.00
Reissue filing fee	\$ 740.00	\$ 370.00	\$ 0.00
Provisional filing fee	\$ 160.00	\$ 80.00	\$ 00.00
<b>Total of above Calculations =</b>			<b>\$ 00.00</b>

### 3. ADDITIONAL FEES

Fee Description	Large Entity	Small Entity	Other
Assignment Recordation Fee.	\$	\$40.00	\$40.00
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
<b>TOTAL:</b>			<b>\$40.00</b>

Name (print/type)	TRAVIS L. DODD	Registration No.: (Attorney/Agent)	42,491
Signature		Date	8/27/03

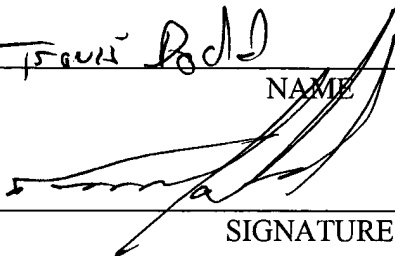
"EXPRESS MAIL" MAILING LABEL NO. EV147293446US

DATE OF DEPOSIT: 8/27/03

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Frank Rodi

NAME



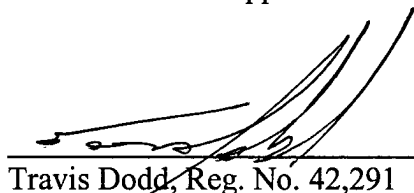
SIGNATURE

Attorney Docket No.	LIGHT 2620
First Named Inventor:	VAN DER VLIET, et al.
Application Number	OPTICAL COMPONENT HAVING REDCUED INTERFERENCE FROM RADIATION MODES

### REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(I)

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/27/03  
Date

  
Travis Dodd, Reg. No. 42,291

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing with forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).